

07-01-02

Receipt



Docket No. 2026-4006US5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Pavlakis et al. Group Art Unit: 1656
Serial No. : 09/943,722 Examiner: TBA
Filed : August 31, 2001
For : METHOD OF ELIMINATING INHIBITORY/INSTABILITY
REGIONS OF mRNA

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TECH CENTER 1600/2900

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Office of Initial Patent Examination
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Washington, D.C. 20231

Sir:

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2. Request For Corrected Filing Receipt, including marked-up copy of Filing Receipt (2 copies)
3. Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional fees which may be required by any of these papers, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2026-4006US5. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 28, 2002

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By: Mary J. Morry
Mary J. Morry
Registration No. 34,398



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EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EL853248290US
Date of Deposit: June 28, 2002

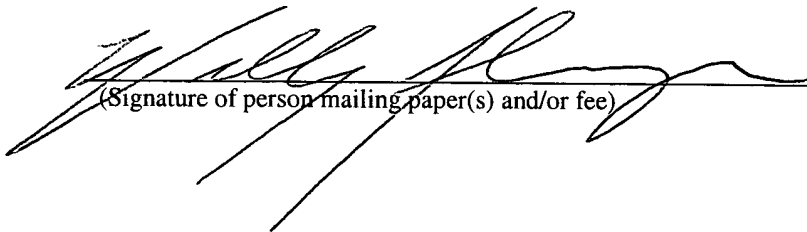
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231.

Wilbur Alvanza

(Typed or printed name of person mailing papers(s) and/or fee)



(Signature of person mailing paper(s) and/or fee)

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PATENT
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REQUEST FOR CORRECTED FILING RECEIPT

COMMISSIONER FOR PATENTS
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicants respectfully request a Corrected Filing Receipt. The filing receipt is erroneous in view of the fact that priority application U.S. Serial No. 08/050,478 is the National Stage of PCT/US93/02908, filed March 29, 1993 under 35 U.S.C. §371, and, therefore, according to MPEP §§1893.03(b) and (c), it should have the filing date of the PCT application and should not have been called a “continuation” of the PCT application.

In the filing receipt, the filing date of U.S. Serial No. 08/050,478 is incorrectly noted as “January 26, 1994” instead of March 29, 1993 and it is incorrectly stated that this application is a “CON” instead of a 371 of PCT/US93/02908. Please correct the filing receipt to indicate that the filing date of U.S. Serial No. 08/050,478 is 03/29/1993 and to indicate that the application is a 371 of PCT/US93/02908.

The Continuing Data as Claimed by Applicant should read as follows:

THIS APPLICATION IS A CON OF 09/678,437	10/02/2000	
WHICH IS A CON OF 09/414,117	10/08/1999	PAT 6,291,664
WHICH IS A CON OF 08/850,049	05/02/1997	PAT 5,965,726
WHICH IS A CON OF 08/050,478	03/29/1993	PAT 5,972,596
WHICH IS A 371 OF PCT/US93/02908	03/29/1993	
WHICH IS A CIP OF 07/858,747	03/27/1992	PAT 6,174,666

A copy of the Filing Receipt with all of the above-mentioned changes noted thereon in red is submitted herewith. Accordingly, Applicants respectfully request issuance of a Corrected Filing Receipt.

A copy of our stamped postcard acknowledging receipt on October 26, 1994 of the 35 U.S.C. §371 Requirements in U.S. Serial No. 08/050,478 and a copy of the Notification of Acceptance of Application under 35 U.S.C. §371 and 37 C.F.R. §§1.494 or 1.495, which acknowledges the 35 U.S.C. §102(e) date as October 26, 1994 for U.S. Serial No. 08/050,478, is also submitted herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2026-4006US5. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 28, 2002

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By: Mary J. Morry
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 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,722	08/31/2001	1656	1670	2026-4006US5	18	30	6

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 345 Park Avenue
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CONFIRMATION NO. 2624

FILING RECEIPT



OC000000007500438

Date Mailed: 02/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

 George N. Pavlakis, Rockville, MD;
 Barbara K. Felber, Rockville, MD;

Domestic Priority data as claimed by applicant

 THIS APPLICATION IS A CON OF 09/678,437 10/02/2000
 WHICH IS A CON OF 09/414,117 10/08/1999 PAT 6,291,664
 WHICH IS A CON OF 08/850,049 05/02/1997 PAT 5,965,726
 WHICH IS A CON OF 08/050,478 ~~04/26/1994~~ PAT 5,972,596
 WHICH IS A CON OF PCT/US93/02908 03/29/1993
 WHICH IS A CIP OF 07/858,747 03/27/1992 PAT 6,174,666

*03/29/1993

(371)

Foreign Applications

If Required, Foreign Filing License Granted 02/20/2002

Projected Publication Date: 05/30/2002

Non-Publication Request: No

Early Publication Request: No

 CASE 2026-4006 ^{us5} ATTY mjm
 INFORMATION DISCLOSURE STATEMENT _____
 FOREIGN FILING _____
 CONVENTION DATE EXPIRES _____

Title

Method of eliminating inhibitory/instability regions of mRNA

Preliminary Class

536

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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Case No. 2026-4006US1 PCT Int'l Appln No. PCT/US
U.S. Serial No. 93/02908
Date Mailed October 26, 1994 ATTYMJM
Date Due in the Patent Office November 3, 1994 ✓

The return of this post card, properly stamped, will acknowledge receipt in the Patent & Trademark Office of the following:

- 70 Rec'd F... 26 OCT 1994**
- 1.- Transmittal Letter (2 copies);
 - 2.- Combined Declaration and Power of Attorney;
 - 3.- Check in the amount of \$130.00 for fee due;
 - 4.- Certificate of Express Mail (EF 440 366 924US);
 - 5.- Copy of PTO "Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US)";
 - 6.- and return receipt postcard.